

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: COX, et al.
Filed: March 24, 2004
Title: **METHOD FOR BLOCKING DENIAL OF SERVICE
AND ADDRESS SPOOFING ATTACK ON
A PRIVATE NETWORK**

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/040,898 filed March 18, 1998, by Applicants and entitled "*Method for Blocking Denial of Service and Address Spoofing Attacks on a private Network*" be considered and cited in the examination of the above-identified continuation patent application. Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Pursuant to 37 C.F.R. § 1.97(b), no fee is believed due. If, however, Applicant has overlooked the need for a fee, the Commissioner is hereby authorized to charge any underpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTs L.L.P.

Attorneys for Applicants

Luke K. Pedersen
Reg. No. 45,003

Date: 3/24/04

PTO-1449 Information Disclosure Citation In an Application	Application No. To be determined		Applicant(s) Dennis Cox et al.
	Docket Number 062891.0638	Group Art Unit	Filing Date March 24, 2004

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	4,438,511	03/20/84	Baran	370	19	
	B	4,672,572	06/09/87	Alsberg	713	202	
	C	4,679,227	07/07/87	Hughes-Hartogs	379	98	
	D	4,731,816	03/15/88	Hughes-Hartogs	379	98	
	E	4,757,495	07/12/88	Decker, et al.	370	76	
	F	4,769,771	09/06/88	Lippmann et al.	364	200	
	G	4,771,425	09/13/88	Baran, et al.	370	85	
	H	4,819,228	04/04/89	Baran, et al.	370	85	
	I	4,833,706	05/23/89	Hughes-Hartogs	379	98	
	J	4,903,261	02/20/90	Baran, et al.	370	94.2	
	K	4,980,897	12/25/90	Decker, et al.	375	38	
	L	5,003,595	03/07/00	Collins	707	9	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	M	WO/97/37458	10/09/97	PCT				
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	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
P	Webpage titled "Keeping Mobile Users Secure" by Dave Kosiur	02/11/98
Q	Webpage titled "After Ping of Death, 'Land' Attack Disables some Mac Systems" by Ric Ford	02/08/97
R	Webpage titled "How Not to be Victim of the Next Hacker Attack" by Annette Hamilton	12/18/96
S	"Utopia Specification Level 1, Version 2.01," (af-phy-0017), The ATM Forum Committee, 19 pp.	03/21/94
T	Anthony Alles, "ATM Internetworking," Cisco Systems, Inc., 59 pp.	05/95

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,020,058	05/28/91	Holden, et al.	370	109	
	B	5,025,469	06/18/91	Bingham	379	98	
	C	5,032,979	07/16/91	Hecht et al.	713	201	
	D	5,054,034	10/01/91	Hughes-Hartogs	375	8	
	E	5,059,925	10/22/91	Weisbloom	331	1A	
	F	5,072,449	12/10/91	Enns, et al.	371	37.1	
	G	5,088,032	02/11/92	Bosack	395	200	
	H	5,115,431	05/19/92	Williams, et al.	370	94.1	
	I	5,128,945	07/07/92	Enns, et al.	371	37.1	
	J	5,206,886	04/27/93	Bingham	375	97	
	K	5,224,099	06/29/93	Corbalis, et al.	370	94.2	
	L	5,228,062	07/13/93	Bingham	375	97	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,255,291	10/10/93	Holden, et al.	375	111	
	B	5,274,631	12/28/93	Bhardwaj	370	60	
	C	5,274,635	12/28/93	Rahman, et al.	370	60.1	
	D	5,274,643	12/28/93	Fisk	370	94.1	
	E	5,313,454	05/17/94	Bustini, et al.	370	13	
	F	5,317,562	05/31/94	Nardin, et al.	370	16	
	G	5,359,592	10/25/94	Corbalis, et al.	370	17	
	H	5,365,580	11/15/94	Morisaki	379	189	
	I	5,390,239	02/14/95	Morris, et al.	379	93	
	J	5,394,394	02/28/95	Crowther, et al.	370	60	
	K	5,422,880	06/06/95	Heitkamp, et al.	370	60	
	L	5,430,715	07/04/95	Corbalis, et al.	370	54	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,473,599	12/05/95	Li, et al.	370	16	
	B	5,473,607	12/05/95	Hausman, et al.	370	85.13	
	C	5,509,006	04/16/96	Wilford, et al.	370	60	
	D	5,519,704	05/21/96	Farinacci, et al.	370	85.13	
	E	5,555,244	09/10/96	Gupta, et al.	370	60.1	
	F	5,561,663	10/01/96	Klausmeier	370	17	
	G	5,561,669	10/01/96	Lenney, et al.	370	60.1	
	H	5,570,360	10/29/96	Klausmeier, et al.	370	60	
	I	5,598,581	01/28/97	Daines, et al.	395	872	
	J	5,602,902	02/11/97	Satterlund, et al.	379	59	
	K	5,617,417	04/01/97	Sathe, et al.	370	394	
	L	5,617,421	04/01/97	Chin, et al.	370	402	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,623,601	04/22/97	Vu	395	187	
	B	5,631,897	05/20/97	Pacheco, et al.	370	237	
	C	5,666,353	09/09/97	Klausmeier, et al.	370	230	
	D	5,668,857	09/16/97	McHale	379	93.07	
	E	5,673,265	09/30/97	Gupta, et al.	370	432	
	F	5,678,004	10/14/97	Thaweechai	595	187.01	
	G	5,682,478	10/28/97	Watson et al.	709	229	
	H	5,687,176	11/11/97	Wisniewski, et al.	370	476	
	I	5,691,997	11/25/97	Lackey, Jr.	371	53	
	J	5,729,546	03/17/98	Gupta, et al.	370	434	
	K	5,732,079	03/24/98	Castrigno	370	362	
	L	5,737,364	04/07/98	Cohen, et al.	375	220	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,737,526	04/07/98	Periasamy, et al.	395	200.06	
	B	5,737,635	04/07/98	Daines, et al.	395	872	
	C	5,740,171	04/14/98	Mazzola, et al.	370	392	
	D	5,740,176	04/14/98	Gupta, et al.	370	440	
	E	5,742,604	04/21/98	Edsall, et al.	370	401	
	F	5,742,649	04/21/98	Muntz, et al.	375	371	
	G	5,756,280	05/26/98	Soora, et al.	455	4.2	
	H	5,757,916	05/26/98	MacDoran et al.	380	25	
	I	5,757,924	05/26/98	Friedman et al.	380	49	
	J	5,764,636	06/09/98	Edsall	370	401	
	K	5,764,641	06/09/98	Lin	370	412	
	L	5,765,032	06/09/98	Valizadeh	395	200.65	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,770,950	06/23/98	Zurcher, et al.	326	30	
	B	5,781,550	07/14/98	Templin et al.	370	401	
	C	5,781,617	07/14/98	McHale, et al.	379	93.14	
	D	5,784,559	07/21/98	Frazier et al.	395	200.13	
	E	5,787,070	07/28/98	Gupta, et al.	370	217	
	F	5,787,255	07/28/98	Parlan, et al.	395	200.63	
	G	5,793,763	08/11/98	Mayes, et al.	370	389	
	H	5,793,951	08/11/98	Stein, et al.	395	187.01	
	I	5,793,978	08/11/98	Fowler	395	200.56	
	J	5,796,732	08/18/98	Mazzola, et al.	370	362	
	K	5,799,017	08/25/98	Gupta, et al.	370	419	
	L	5,802,042	09/01/98	Natarajan, et al.	370	255	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,805,595	09/08/98	Sharper, et al.	370	442	
	B	5,812,618	09/22/98	Muntz, et al.	375	372	
	C	5,812,786	09/22/98	Seazholtz, et al.	395	200.63	
	D	5,822,383	10/13/98	Muntz, et al.	375	362	
	E	5,826,014	10/20/98	Coley et al.	395	187.01	
	F	5,828,846	10/27/98	Kirby, et al.	395	200.68	
	G	5,835,036	11/10/98	Takefman	341	95	
	H	5,835,481	11/10/98	Akyol, et al.	370	216	
	I	5,835,494	11/10/98	Hughes, et al.	370	397	
	J	5,835,725	11/10/98	Chiang, et al.	395	200.58	
	K	5,838,915	11/17/98	Klausmeier, et al.	395	200.45	
	L	5,838,994	11/17/98	Valizadeh	395	876	
	M	5,852,655	12/22/98	McHale, et al.	379	93.14	

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	A	5,859,550	01/12/99	Brandt	327	156	
	B	5,864,542	01/26/99	Gupta, et al.	370	257	
	C	5,864,666	01/26/99	Shrader	395	187.01	
	D	5,867,647	02/02/98	Haigh et al.	395	186	
	E	5,867,666	02/02/99	Harvey	395	200.68	
	F	5,892,903	04/06/99	Klaus	395	187.01	
	G	5,958,053	09/28/99	Denker	713	201	
	H	5,968,176	10/19/99	Nessett, et al.	713	201	
	I	5,991,881	11/23/99	Conklin, et al.	713	201	
	J	6,003,030	12/14/99	Kenner et al.	707	10	
	K	6,009,475	12/28/99	Shrader	709	249	
	L	6,035,404	03/26/91	Zhao	713	201	
	M	6,061,650	05/09/00	Malkin et al.	704	228	

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